

# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. **N0023/287661**  
Applicant **PAUL KENNETH PICKARD, et al.**  
Title **HOUSINGS FOR EMERGENCY UNIT LUMINAIRES**  
Express Mail Label No. **EV333521095US**

APPLICATION ELEMENTS  
See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents  
Mail Stop Patent Application  
Post Office Box 1450  
Alexandria, VA 22313-1450

1. ☐ Applicant claims small entity status (See 37 CFR 1.27)

2. ☒ Specification Total Pages 27  
(preferred arrangement as set forth below)  
- Descriptive title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table, or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure

3. ☒ Drawing(s) (35 USC 113) Total Sheets 13

4. Oath or Declaration Total Pages 3  
a. ☒ Unexecuted (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with box 17 completed) [Note Box 4 below]  
i. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application see 37 CFR 1.63(d)(2) and 1.33(b)

5. ☐ Incorporation By Reference (usable if Box 3b is checked)  
The entire disclosure of the prior application, which a copy of the oath or declaration is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)  
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))  
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney (when there is an assignee)  
10. ☐ English Translation Document (if applicable)  
11. ☐ Information Disclosure ☐ Copies of IDS Statement (IDS)/PTO-1449 Citations  
12. ☐ Preliminary Amendment  
13. ☒ Return Receipt Postcard (MPEP 503)  
14. ☐ Certified Copy of Priority Document(s) (If foreign priority is claimed)  
15. ☒ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 Or its equivalent  
16. Other: \_\_\_\_\_


☒ Check in the amount of \$ 750.00  
During the pendency of this application, the Commissioner is hereby authorized to credit overpayments or charge any additional fees under 37 CFR 1.116 and 1.117 to Deposit Account No. 11-0855

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application  
Prior application information: Examiner: Group/Art Unit:

## 18. FEE CALCULATIONS

| CLAIMS | For   | Number Filed | Extra | Rate  | Calculations |
|--------|---|--------------|-------|---|--------------|
|        | Total Claims  | 20 - 20 =    | x     | \$18 =  | \$           |
|        | Indep. Claims   | 3 - 3 =      | x     | \$84 =  | \$           |
|        | Multiple Dependent Claims (if applicable)   |              | +     | \$280 =   | \$           |
|        |   |              |       | Basic Fee (37 CFR 1.16)   | \$ 750.00    |
|        |   |              |       | Total Calculations  | \$ 750.00    |
|        |   |              |       | Reduced by 50% for filing small entity (Note 37 CFR 1.9, 1.27, 1.28). | \$           |
|        | Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property |              |       |   | \$           |
|        | TOTAL FEES SUBMITTED  |              |       |   | \$ 750.00    |

## 19. CORRESPONDENCE ADDRESS

|                   |   |           |              |                                   |               |
|-------------------|---|-----------|--------------|-----------------------------------|---------------|
| Name              | John S. Pratt, Esq.   |           |              |                                   |               |
| Address           | Kilpatrick Stockton LLP   |           |              |                                   |               |
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| Country           | U.S.A.  | Telephone | 404.815.6046 | Fax                               | 404.815.6555  |
| Name (Print/Type) | Geoffrey K. Gavin   |           |              | Registration No. (Attorney/Agent) | 47,591        |
| Signature         |  |           |              | Date                              | July 23, 2003 |

17707 U.S. PTO  
07/23/03

19972 U.S. PTO  
10/626133  
07/23/03

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|   |                      |  |
|---|----------------------|--|
| <b>NONPUBLICATION REQUEST<br/>UNDER<br/>35 U.S.C. 122(b)(2)(B)(i)</b> | First Named Inventor | Paul Kenneth Pickard, et al.           |
|   | Title                | HOUSINGS FOR EMERGENCY UNIT LUMINAIRES |
|   | Atty Docket Number   | N0023/287661                           |

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

July 23, 2003

Date

  
Signature

**CUSTOMER NO.**

**23370**

Geoffrey K. Gavin, Reg. No. 47,591

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

**Burden Hour Statement:** This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.